FORM NLRB-501 (3-21)

### UNITED STATES OF AMERICA NATIONAL LABOR RELATIONS BOARD

CHYDGE	AGAINST	EMDI	OVED

DO NOT WRITE IN THIS SPACE			
Case	Date Filed		

#### INSTRUCTIONS:

File an original with NLRB Regional Director for the region in	which the alleged unfair labor practice occurred or is occurred	urring.
1. EMPL	OYER AGAINST WHOM CHARGE IS BROUGHT	
a. Name of Employer		b. Tel. No.
Provantage		(614) 798-1505
		c. Cell No.
		f. Fax. No.
d. Address (Street, city, state, and ZIP code)	e. Employer Representative	
100 W. Old Wilson Bridge Rd. Suite 202	(b) (6), (b) (7)(C)	g. e-mail
		(b) (6), (b) (7)(C)
OH Worthington 43085		h. Number of workers employed
		14
i. Type of Establishment (factory, mine, wholesaler, etc.)	i Identify principal product or service	
Services	janitorial services	
The above-named employer has engaged in and is engaged	,	ion 8(a) subsections (1) and
(list subsections) 5		or Relations Act, and these unfair labor
practices are practices affecting commerce within the me		·
meaning of the Act and the Postal Reorganization Act.	arming of the Act, of these urname abor practices are pra	choes an early commerce within the
Basis of the Charge (set forth a clear and concise state)	ement of the facts constituting the alleged unfair labor.	aractices)
2. Dasis of the Charge (Set forth a clear and concise state	smem of the facts constituting the alleged unial labor p	nacioes)
See additional page		
Full name of party filing charge (if labor organization, g Michele Cotrupe     Service Employees International Union, Local 1	live full name, including local name and number)	
4a. Address (Street and number, city, state, and ZIP code	e)	4b. Tel. No.
		(312) 233-8719
 		4c. Cell No.
111 E. Wacker Dr. Suite 1700 IL Chicago 60601		
TE Chicago 6060 i		4d. Fax No.
		4e. e-mail
		cotrupem@seiu1.org
5. Full name of national or international labor organizatio	n of which it is an affiliate or constituent unit (to be filled	in when charge is filed by a labor organization)
		, , ,
***====	ARATION	Tel. No.
I declare that I have read the above charge and that the statements are true to the best of my knowledge and belief.		(312) 233-8719
are true to the best of my knowledge and belief.		Office, if any, Cell No.
	Michele Cotrupe Associate Counsel	
(signature of representative or person making charge)	(Print/type name and title or office, if any)	Fax No.
	( 5)	
111 E. Wacker Dr. Suite 1700		e-mail
Address Chicago IL 60601	Date 03/21/2023 01:56:47 PM	cotrupem@seiu1.org

WILLFUL FALSE STATEMENTS ON THIS CHARGE CAN BE PUNISHED BY FINE AND IMPRISONMENT (U.S. CODE, TITLE 18, SECTION 1001) PRIVACY ACT STATEMENT

Solicitation of the information on this form is authorized by the National Labor Relations Act (NLRA), 29 U.S.C. § 151 et seq. The principal use of the information is to assist the National Labor Relations Board (NLRB) in processing unfair labor practice and related proceedings or litigation. The routine uses for the information are fully set forth in the Federal Register, 71 Fed. Reg. 74942-43 (Dec. 13, 2006). The NLRB will further explain these uses upon request. Disclosure of this information to the NLRB is voluntary; however, failure to supply the information may cause the NLRB to decline to invoke its processes.

# **Basis of the Charge**

## 8(a)(5)

Within the previous six months, the Employer failed and refused to recognize the union as the collective bargaining representative of its employees.

## 8(a)(5)

Within the previous six months, the Employer failed and refused to bargain in good faith with the union as the collective bargaining representative of its employees.

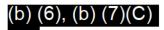


# UNITED STATES GOVERNMENT NATIONAL LABOR RELATIONS BOARD

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Agency Website: www.nlrb.gov Telephone: (513)684-3686 Fax: (513)684-3946 Download NLRB Mobile App

March 23, 2023



REGION 9 550 MAIN ST

RM 3-111

CINCINNATI, OH 45202-3271

Provantage 100 W. Old Wilson Bridge Road Suite 202 Worthington, OH 43085

> Re: PROVANTAGE Case 09-CA-314677

# Dear (b) (6), (b) (7)(C):

Enclosed is a copy of a charge that has been filed in this case. This letter tells you how to contact the Board agent who will be investigating the charge, explains your right to be represented, discusses presenting your evidence, and provides a brief explanation of our procedures, including how to submit documents to the NLRB.

<u>Investigator</u>: This charge is being investigated by Attorney JONATHAN D. DUFFEY whose telephone number is (513)684-3663. If this Board agent is not available, you may contact Supervisory Attorney THERESA L. LAITE whose telephone number is (513)684-3630.

<u>Right to Representation</u>: You have the right to be represented by an attorney or other representative in any proceeding before us. If you choose to be represented, your representative must notify us in writing of this fact as soon as possible by completing *Form NLRB-4701*, *Notice of Appearance*. This form is available on our website, <a href="www.nlrb.gov">www.nlrb.gov</a>, or from an NLRB office upon your request.

If you are contacted by someone about representing you in this case, please be assured that no organization or person seeking your business has any "inside knowledge" or favored relationship with the National Labor Relations Board. Their knowledge regarding this proceeding was only obtained through access to information that must be made available to any member of the public under the Freedom of Information Act.

<u>Presentation of Your Evidence</u>: We seek prompt resolutions of labor disputes. Therefore, I urge you or your representative to submit a complete written account of the facts and a statement of your position with respect to the allegations set forth in the charge as soon as possible. If the Board agent later asks for more evidence, I strongly urge you or your representative to cooperate fully by promptly presenting all evidence relevant to the investigation. In this way, the case can be fully investigated more quickly.

Due to the nature of the allegations in the enclosed unfair labor practice charge, we have identified this case as one in which injunctive relief pursuant to Section 10(j) of the Act may be appropriate. Therefore, in addition to investigating the merits of the unfair labor practice allegations, the Board agent will also inquire into those factors relevant to making a determination as to whether or not 10(j) injunctive relief is appropriate in this case. Accordingly, please include your position on the appropriateness of Section 10(j) relief when you submit your evidence relevant to the investigation.

Full and complete cooperation includes providing witnesses to give sworn affidavits to a Board agent, and providing all relevant documentary evidence requested by the Board agent. Sending us your written account of the facts and a statement of your position is not enough to be considered full and complete cooperation. A refusal to fully cooperate during the investigation might cause a case to be litigated unnecessarily.

In addition, either you or your representative must complete the enclosed Commerce Questionnaire to enable us to determine whether the NLRB has jurisdiction over this dispute. If you recently submitted this information in another case, or if you need assistance completing the form, please contact the Board agent.

We will not honor requests to limit our use of position statements or evidence. Specifically, any material you submit may be introduced as evidence at a hearing before an administrative law judge regardless of claims of confidentiality. However, certain evidence produced at a hearing may be protected from public disclosure by demonstrated claims of confidentiality.

Further, the Freedom of Information Act may require that we disclose position statements or evidence in closed cases upon request, unless an exemption applies, such as those protecting confidential financial information or personal privacy interests.

<u>Preservation of all Potential Evidence:</u> Please be mindful of your obligation to preserve all relevant documents and electronically stored information (ESI) in this case, and to take all steps necessary to avoid the inadvertent loss of information in your possession, custody or control. Relevant information includes, but is not limited to, paper documents and all ESI (e.g. SMS text messages, electronic documents, emails, and any data created by proprietary software tools) related to the above-captioned case.

<u>Prohibition on Recording Affidavit Interviews:</u> It is the policy of the General Counsel to prohibit affiants from recording the interview conducted by Board agents when subscribing Agency affidavits. Such recordings may impede the Agency's ability to safeguard the confidentiality of the affidavit itself, protect the privacy of the affiant and potentially compromise the integrity of the Region's investigation.

<u>Correspondence:</u> All documents submitted to the Region regarding your case MUST be filed through the Agency's website, <u>www.nlrb.gov</u>. This includes all formal pleadings, briefs, as well as affidavits, documentary evidence, and position statements. The Agency requests all evidence submitted electronically to be in the form it is normally used and maintained in the course of business (i.e., native format). Where evidence submitted electronically is not in native format, it should be submitted in a manner that retains the essential functionality of the native format (i.e., in a machine-readable and searchable electronic format).

If you have questions about the submission of evidence or expect to deliver a large quantity of electronic records, please promptly contact the Board agent investigating the charge. If you cannot e-file your documents, you must provide a statement explaining why you do not have access to the means for filing electronically or why filing electronically would impose an undue burden.

In addition, this Region will be issuing case-related correspondence and documents, including complaints, compliance specifications, dismissal letters, deferral letters, and withdrawal letters, electronically to the email address you provide. To ensure that you receive important case-related correspondence, please ensure that the Board Agent assigned to your case has your preferred email address. These steps will ensure that you receive correspondence faster and at a significantly lower cost to the taxpayer. If there is some reason you are unable to receive correspondence via email, please contact the agent assigned to your case to discuss the circumstances that prevent you from using email.

<u>Controlled Unclassified Information (CUI)</u>: This National Labor Relations Board (NLRB) proceeding may contain Controlled Unclassified Information (CUI). Subsequent information in this proceeding may also constitute CUI. National Archives and Records Administration (NARA) regulations at 32 CFR Part 2002 apply to all executive branch agencies that designate or handle information that meets the standards for CUI.

\* \* \*

Information about the Agency, the procedures we follow in unfair labor practice cases and our customer service standards is available on our website, <a href="www.nlrb.gov">www.nlrb.gov</a> or from an NLRB office upon your request. NLRB Form 4541, Investigative Procedures offers information that is helpful to parties involved in an investigation of an unfair labor practice charge.

We can provide assistance for persons with limited English proficiency or disability. Please let us know if you or any of your witnesses would like such assistance.

Very truly yours,

Patricia K. Nachand Acting Regional Director

Satricia J. Dasland

### Enclosures:

- 1. Copy of Charge
- 2. Commerce Questionnaire
- 3. Notice of Federal Mediation and Conciliation Services for Bad Faith Bargaining Allegations

## **UNITED STATES OF AMERICA**

## **BEFORE THE NATIONAL LABOR RELATIONS BOARD**

PROVANTAGE

Charged Party				
and	Case 09-CA-314677			
SERVICE EMPLOYEES INTERNATIONAL UNION, LOCAL 1				
Charging Party				
AFFIDAVIT OF SERVICE OF CHARGE AGAINST EMPLOYER				
I, the undersigned employee of the National Labor I served the above-entitled document(s) by post-paid addressed to them at the following addresses:				
(b) (6), (b) (7)(C) Provantage 100 W. Old Wilson Bridge Rd. Suite 202 Worthington, OH 43085				
March 23, 2023	Rhonda Kramig, Designated Agent of NLRB			
Date	Name			
	/s/ Rhonda Kramig			
	Signature			